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10/027,457	12/21/2001	Susan M. Coatney	112056-0007	1514

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BOSTON, MA 02210

EXAMINER
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BAYARD, DIENANE M

ART UNIT	PAPER NUMBER
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2141

MAIL DATE	DELIVERY MODE
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02/06/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

10/027,457

Applicant(s)

COATNEY ET AL.

Examiner

Djenane M. Bayard

Art Unit

2141

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 13 November 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 6-9, 11-17, 19, 20 and 23-55 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 6-9, 11-17, 19-20, and 23-55 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. This is in response to Request for Continued Examination received 11/13/07 in which claims 6-9, 11-17, 19-20, 23-55 are pending.

#### *Response to Arguments*

2. Applicant's arguments have been fully considered but they are not persuasive.

Contrary to Applicant's argument Nishanov et al teaches each node that wants access to the disk has an identifier (unique to the network) and registers a reservation key (e.g., a 64 bit value) with the disk, which is stored in the key table based on the identifier. In the SCSI-3 protocol, such a registration is accomplished by a Register (64 bit key) command, which adds the specified key to the key table if there is not already an entry for the identifier of the initiator node. In the SCSI-3 protocol, to place a persistent reservation on some or all of the logical unit, a Reserve (scope, type) command may be used. This command adds the persistent reservation for the initiator with a registered key if it does not conflict with any existing reservations on the device (See page 4, paragraph [0037 and 0039]). Furthermore, Nishanov teaches wherein "If the ReserverID field does not have the same ID therein as the OwnerID field" (See page 6, paragraph [0054])...that the registration will change the reservation key in the reservation table from the challenge key if the challenge key (challenger, owner) is still there, but will not change the reservation key if the owner has restored it (to owner, owner). In other words, the key is updated to the one specified in the register command, while in the reservation table 216, if the owner has preempted the challenge" (See page 6, paragraph [0058]).

In response to applicant's argument that that the claimed invention does not need a challenge from a new or second node to revise the SCSI reservation tag, a recitation of the

intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 6-8,12-17, 19, 27, 42-43, 49-54 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent Application No. 2003/0065782 to Nishanov et al.

a. As per claims 6 and 27, Nishanov et al teaches a method of claiming ownership of a plurality of disks by a network device in a network storage system comprising: writing ownership information to a predetermined area of each disk (See page4, paragraph [0036-0037], *the storage device has maintained a key table, a reservation table*); setting a small computer system interface (SCSI) reservation tag for each disk to a state of network device ownership to provide a two part indicia of ownership for each disk (See page 4, paragraph [0037-0038], where the two part indicia of ownership for each disk, where the two part indicia of ownership are both written to each disk (See page 4, paragraph [0037, *each node that wants access to the disk has*

*an identifier and registers a reservation key with the disk which is stored in the key table based on the identifier)* identifying all disks owned by the network device using ownership information written to the predetermined area of each disk of the plurality disks and, for each identified disk, if a mismatch occurs between the ownership information on the predetermined area of the disk and the ownership defined by the SCSI reservation tag, then using the ownership information written to the predetermined area of the disk as definite ownership data (See page 5, paragraph [0048] and page 6, paragraph [0054]).

b. As per claim 9 and 17, Nishanov et al teaches a system comprising a plurality of network device; one or more switches, each network device connected to at least one of the one or more switch; a plurality of disks having a first ownership attribute in the form of a small computer system interface (SCSI) reservation tag (See page 4, paragraph [0037]), wherein the first and second ownership attribute are written to each disk, each connected to at least one of the plurality of switches each network device of the plurality of network devices identifies all disks owned by that network device using ownership information written to the predetermined area of each disk of the plurality disks (See page 4, paragraph [0036-0038]) and, for each identified disk, if a mismatch occurs between the ownership information on the predetermined area of the disk and the ownership defined by the SCSI reservation tag, then using the ownership information written to the predetermined area of the disk as definite ownership data (See page 5, paragraph [0048] and page 6, paragraph [0054]).

c. As per claims 7 and 13, Nishanov et al teaches the claimed invention as described above.

Furthermore, Nishanov et al teaches wherein the ownership information further comprises a serial number of the network device (page 4, paragraph [0037]).

d. As per claims 8, 16 and 19, Nishanov et al teaches the claimed invention as described above. Furthermore, Nishanov et al wherein the network device comprises a file server (See page 4, paragraph [0036]).

e. As per claims 12, Nishanov et al teaches the claimed invention as described above. Furthermore, Nishanov et al teaches wherein the small computer system interface level reservation tag is set such that only the network device may write to the disk (See page 1, paragraph [0007]).

f. As per claim 14, Nishanov et al teaches the claimed invention as described above. Furthermore, Nishanov et al teaches failed to teach wherein each of the plurality of file servers can read data from each of the plurality of disks (See page 1, paragraph [0007]).

g. As per claim 15, Nishanov et al teaches the claimed invention as described above. Furthermore, Nishanov et al teaches wherein only a network device that owns one of the plurality of disks can write data to the one disk (See page 4, paragraph [0036-0040]).

h. As per claim 42, Nishanov et al teaches the claimed invention as described above.

Furthermore, Nishanov et al teaches wherein the small computer system interface reservation tag and the ownership information at the predetermined area of the disk indicate ownership by the same network device (See page 4, paragraph [0037-0038]).

i. As per claim 43, Nishanov et al teaches the claimed invention as described above.

Furthermore, Nishanov et al teaches wherein the small computer system interface (SCSI) reservation tag is a small computer system interface level 3 (SCSI-3) reservation tag (See page 4, paragraph [0035]).

j. As per claims 44, 49-50, Nishanov et al teaches a computer readable medium containing executable program instructions for manage ownership of one or more storage devices, the executable program instructions comprising program instructions for: reading ownership information from a predetermined area of each storage device (See page 4, paragraph [0039] and page 5, paragraph [0043]); accessing a small computer system interface (SCSI) reservation tag associate with each storage device (See page 4, paragraph [0037]); comparing the SCSI reservation tag to the ownership information of the same storage device and, if there is not a match, changing the SCSI reservation tag to match the ownership information; and configuring the one or more storage devices for use by the network device (See page 5, paragraph [0048] and page 6, paragraph [0054]).

k. As per claim 51, Nishanov et al teaches a plurality of disks having a first ownership attribute written to a known and constant location across all the disks and second ownership attribute in the form of a small computer system interface (SCSI) reservation tag to provide a two part indicia of ownership (See page 4, paragraph [0036-0037]); and a network device with an ownership layer for comparing the SCSI persistent reservation tag to the ownership information stored in the known and constant location of the same storage device and , if there is not a match, changing the SCSI persistent reservation tag to match ownership information stored in the known and constant location (See page 5, paragraph [0048] and page 6, paragraph [0054]).

l. As per claim 52, Nishanov et al teaches a method for a network device to manage ownership of one or more storage devices in a network storage system, comprising: reading ownership information of each storage device from a known and constant location across all storage devices (See page 4, paragraph [0039] and page 5, paragraph [0043]); accessing a small computer system interface (SCSI) reservation tag associate with each storage device (See page 4, paragraph [0037-0038]); and comparing the SCSI reservation tag to the ownership information of the same storage device and, if there is not a match, changing the SCSI persistent reservation tag to match the ownership information stored on the storage device in the known and constant location (See page 5, paragraph [0048] and page 6, paragraph [0054]).

m. As per claim 53, Nishanov et al teaches the claimed invention as described above. Furthermore, Nishanov et al teaches a method, comprising writing ownership information to a predetermined area of the disk to claim write ownership by a first server (See page 4, paragraph



[0036-0037]); setting a small computer system interface (SCSI) reservation tag to a state of the first server ownership to provide a two part indicia of ownership for the first server (See page 4, paragraph [0037-0038]).); and determining, by a second server, the disk is owned by the first server by reading the ownership information in the predetermined area of the disk (See page 5, paragraph [0048] and page 6 paragraph [0054]).

n. As per claim 54, Nishanov et al teaches a method of claiming ownership of a plurality of disks by a network device in a network storage system, comprising: writing ownership information to a predetermined area of each disk (See page 4, paragraph [0036]); setting a reservation tag for each disk to a state of network device ownership to provide a two part indicia of ownership for each disk, where the two part indicia of ownership are both written to each disk (See page 4, paragraph [0036-0038]); identifying all disks owned by the network device using ownership information written to the predetermined area of each disk of the plurality disks and, for each identified disk, if a mismatch occurs between the ownership information on the predetermined area of the disk and the ownership defined by the reservation tag, then using the ownership information written to the predetermined area of the disk as definite ownership data (See page 5, paragraph [0048 and page 6, paragraph [0054]).

o. As per claim 55, Nishanov et al teaches a method of claiming ownership of a plurality of storage devices by a network device in a network storage system, comprising: writing ownership information to a predetermined area of each storage device (See page 4, paragraph [0036-0039]);

setting a reservation tag for each disk to a state of network device ownership to provide a two part indicia of ownership for each storage device, where the two part indicia of ownership are both written to each storage device (See page 4, paragraph [0036-0039]); identifying all storage devices owned by the network device using ownership information written to the predetermined area of each storage device of the plurality storage devices and, for each identified storage device, if a mismatch occurs between the ownership information on the predetermined area of the storage device and the ownership defined by the reservation tag, then using the ownership information written to the predetermined area of the storage device as definite ownership data, wherein the network device modifies the reservation tag without interference from a second network device (See page 5, paragraph [0048, 0050] and page 6, paragraph [0051]).

*Claim Rejections - 35 USC § 103*

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out

the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claims 20, 23-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2003/0065782 to Nishanov et al in view of U.S. Patent Application No. 2003/0093501 to Carlson et al.

a. As per claim 20, Nishanov et al teaches a plurality of disks having a first ownership attribute written to a predetermined area of the disk (See page 4, paragraph [0036-0037]) and a second ownership attribute in the form of a small computer system interface reservation tag (See page 4, paragraph [0037-0038]). Furthermore, Nishanov et al teaches wherein each network device is written to the predetermined area of each disk of the plurality disks and, for each identified disk, if a mismatch occurs between the ownership, information on the predetermined area of the disk and the ownership defined by the SCSI reservation tag, then using the owner information written to the predetermined area of the disk as definite ownership data (See page 5, paragraph [0048] and page 6, paragraph [0054]). However, Nishanov et al fails to teach wherein one or more switches interconnected to form a switching fabric; a plurality of disks, each of the disks connected to at least one of the switches; and one or more network devices, interconnected with the switching fabric, each of the network devices being adapted to own a predetermined set of disks of the plurality of disk.

Carlson et al teaches a network storage system comprising: one or more switches interconnected to form a switching fabric; a plurality of disks, each of the disks connected to at

least one of the switches (See page 2, paragraph [0039]); and one or more network devices, interconnected with the switching fabric; each of the network devices being adapted to own a predetermined set of disks of the plurality of disks (See page 8, paragraph [0082

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate the teaching of Carlson in the claimed invention of Brunelle et al in order to interconnect the attached host devices (see page 2, paragraph [0039]).

b. As per claim 23, Nishanov et al teaches wherein the first ownership attribute further comprises a serial number of one of the one or more network devices (See page 4, paragraph [0037]).

c. As per claims 24, Nishanov et al teaches wherein the small computer system interface reservation tag is a small computer system interface level 3 persistent reservation tag (See page 4, paragraph [0035]).

d. As per claim 25, Nishanov et al teaches wherein each of the network devices further comprises a disk ownership table, the disk ownership table containing ownership data for each of the disks (See page 4, paragraph [0036-0037]).

8. Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2003/0065782 to Nishanov et al in view of U.S. Patent Application No.

2003/0093501 to Carlson et al as applied to claim 20 above, and further in view of U.S. Patent Application No. 2003/0061491 to Jaskiewicz et al.

a. As per claim 26, Nishanov et al in view of Carlson et al failed to teach wherein the ownership table further comprises a world wide name for each of the disks, the world wide name being used for identification of each of the disks.

Jaskiewicz et al teaches wherein the ownership table further comprises a world wide name for each of the disks, the world wide name being used for identification of each of the disks (See page 3, paragraph [0021]).

It would have been obvious to one with ordinary skill in the art at the invention was made to incorporate wherein the ownership table further comprises a world wide name for each of the disks, the world wide name being used for identification of each of the disks as taught by Jaskiewicz et al in the claimed invention of Nishanov et al in view of Carlson et al in order to give the host device permission to write and read data to and from the storage location the right device id (See page 3, paragraph [0022]).

9. Claims 28-42, 45-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 6,654,902 to Brunelle et al in view of U.S. Patent Application No. 2003/0065782 to Nishanov et al

a. As per claims 28, 34-36, Brunelle et al teaches network storage system one or more storage devices, each storage device having a predetermined area for storing ownership

information and each storage device having a small computer system interface (SCSI) reservation tag (See col. 5, lines 25-67); at least one network device having an ownership table constructed based upon the ownership information from each storage device (See col. 7, lines 7-17, *a reserve table stored in the storage device. The persistent reserve table includes a reservation entry for each reservation. The reservation entry includes an initiator identifier and a reservation descriptor*); the at least one network device having a disk storage layer for configuring the one or more storage devices identified in the ownership table into at least one volume for use by the network device (See col. 8, lines 46-55, *the registered cluster nodes are permitted to write data to the shared storage device because the type of reservation enabled is write exclusive registrants only*). However, Brunelle et al fails to teach the at least one network device having an ownership layer for comparing the SCSI reservation tag to the ownership information of the same storage device and, if there is not a match, changing the SCSI reservation tag to match the ownership information.

Nishanov et al teaches at least one network device having an ownership layer for comparing the SCSI level 3 reservation tag to the ownership information of the same storage device and, if there is not a match, changing the SCSI level 3 reservation tag to match the ownership information (See page 5, paragraph [0048] and page 6, paragraph [0054]).

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate the teaching of Nishanov et al in the claimed invention of Brunelle et al in order to allow a resource such as storage device to be protected by exclusive access through a persistent reservation that is not removed (See page 1, paragraph [0007]).

- c. As per claims 29, 37, 42 and 47, Brunelle et al teaches setting ownership information at the predetermined area of each storage device (See col. 7, lines 1-17).
- d. As per claims 30 and 38, Brunelle et al wherein the step of configuring further comprises: organizing the one or more storage devices into at least one Redundant Array of independent Disks (RAID) group (See col. 3, lines 30-35).
- e. As per claims 31, 39 and 48, Brunelle et al teaches wherein the predetermined area of the one or more storage devices is sector zero of the one or more storage devices (See col. 5 lines 55-64).
- f. As per claims 32 and 40, Brunelle et al teaches wherein the ownership information is a serial number of the network device that owns that particular storage device (See col. 7, lines 1-17).
- g. As per claims 33 and 41, Brunelle et al teaches wherein the ownership table includes a world wide name for each of the storage devices, the world wide name being used to identify each of the storage devices (See col. 7, lines 1-17).
- h. As per claim 45, Brunelle et al teaches wherein the small computer system interface (SCSI) reservation tag is a small computer system interface level 3 (SCSI-3) reservation tag (See col. 5, lines 25-67).

i. As per claim 46, Brunelle et al in teaches in response to reading the ownership information, creating an ownership table on the network device that identifies the one or more storage devices owned by the network device; and using the ownership table to configure the one or more storage devices into at least one volume (See col. 8, lines 46-55, *the registered cluster nodes are permitted to write data to the shared storage device because the type of reservation enabled is write exclusive registrants only*).

### *Conclusion*

10. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Djenane M. Bayard whose telephone number is (571) 272-3878. The examiner can normally be reached on Monday- Friday 5:30 AM- 3:00 PM.



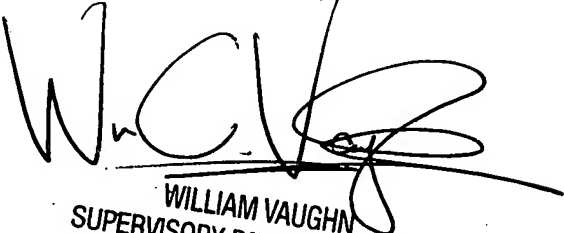
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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on (571) 272-3880. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Djenane Bayard  
Patent Examiner

  
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